

Process Safety Management Audit Checklist

Compliance Audits

	Y/N	Comments
Documentation		
Has the company certified in writing that there has been an audit of compliance with PSM at least every three years? NOTE: It may be necessary for companies to conduct compliance audits and certify that they have evaluated compliance more frequently than every 3 years, because of significant or numerous deficiencies disclosed by the previous audit, or for other reasons.		
Do the audit reports include an evaluation of all the required paragraphs of the PSM standard?		
Was the compliance audit conducted by at least one person who was knowledgeable in the process?		
Has a report of the findings been developed for each audit?		
Has the company promptly determined and documented an appropriate response to each of the findings?		
Does the company document that deficiencies have been corrected?		

Has the company retained the two most recent compliance audit reports?		
Interviews		
Based on interviews with auditors, are they knowledgeable in processes?		
Based on interviews with a representative number of employees and their designated representatives, do they have access to compliance audit information?		